

# PROJECTIVE CLOSURE OF SEMIGROUP ALGEBRAS

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**ABSTRACT.** This paper investigates the projective closure of simplicial affine semigroups in  $\mathbb{N}^d$ ,  $d \geq 2$ . We present a characterization of the Cohen-Macaulay property for the projective closure of these semigroups using Gröbner bases. Additionally, we establish a criterion, based on Gröbner bases, for determining the Buchsbaum property of non-Cohen-Macaulay projective closures of numerical semigroup rings. Lastly, we introduce the concept of  $k$ -lifting for simplicial affine semigroups in  $\mathbb{N}^d$ , and investigate its relationship with the original simplicial affine semigroup.

## 1. INTRODUCTION

Let  $\Gamma$  be an affine semigroup, fully embedded in  $\mathbb{N}^d$ . Let  $\Gamma$  be minimally generated by the set  $\{\mathbf{m}_1, \dots, \mathbf{m}_{d+r}\}$ . The semigroup algebra  $\mathbb{K}[\Gamma]$  over a field  $\mathbb{K}$  is generated by the monomials  $\mathbf{x}^{\mathbf{m}}$ , where  $\mathbf{m} \in \Gamma$ , with maximal ideal  $\mathfrak{m} = (\mathbf{x}^{\mathbf{m}_1}, \dots, \mathbf{x}^{\mathbf{m}_{d+r}})$ . Let  $I(\Gamma)$  denote the defining ideal of  $\mathbb{K}[\Gamma]$ , which is the kernel of the  $\mathbb{K}$ -algebra homomorphism  $\phi : A = \mathbb{K}[z_1, \dots, z_{d+r}] \rightarrow \mathbb{K}[\mathbf{s}]$ , such that  $\phi(z_i) = \mathbf{s}^{\mathbf{m}_i}$ ,  $i = 1, \dots, d+r$  and  $\mathbf{s} = s_1 \dots s_d$ . Let us write  $\mathbb{K}[\Gamma] \cong A/I(\Gamma)$ . The defining ideal  $I(\Gamma)$  is a binomial prime ideal.

Consider a partial relation order on  $\mathbb{N}^d$ :  $\mathbf{a} = (a_1, \dots, a_d) \leq \mathbf{b} = (b_1, \dots, b_d)$  if and only if  $a_i \leq b_i, \forall i$ . For indeterminate  $\mathbf{s} = s_1 \dots s_d, \mathbf{t} = t_1 \dots t_d$ , consider a map  $\phi^h : \mathbb{K}[z_0, z_1, \dots, z_{d+r}] \rightarrow \mathbb{K}[\mathbf{s}, \mathbf{t}]$  defined by  $\phi^h(z_i) = \mathbf{s}^{\mathbf{m}_{d+r} - \mathbf{m}_i} \mathbf{t}^{\mathbf{m}_i}$  for  $1 \leq i \leq d+r$  and  $\phi^h(z_0) = \mathbf{s}^{\mathbf{m}_{d+r}}$ . The image of the map  $\phi^h$  is the subalgebra  $\mathbb{K}[\mathcal{A}]$  of  $\mathbb{K}[\mathbf{s}, \mathbf{t}]$  generated by the monomials whose exponents are the generators of the affine semigroup

$$\Gamma^h = \langle \{(\mathbf{m}_{d+r}, \mathbf{0}), (\mathbf{m}_{d+r} - \mathbf{m}_1, \mathbf{m}_1), \dots, (\mathbf{m}_{d+r} - \mathbf{m}_{d+r-1}, \mathbf{m}_{d+r-1}), (\mathbf{0}, \mathbf{m}_{d+r})\} \rangle.$$

We call the subalgebra  $\mathbb{K}[\Gamma^h]$  the projective closure of the affine semigroup  $\Gamma$ . Its vanishing ideal  $I(\Gamma^h)$  is given by the kernel of the homomorphism  $\phi^h$ .

The projective closure of the affine semigroup  $\Gamma$  is Cohen-Macaulay if its vanishing ideal  $I(\Gamma^h)$  is a Cohen-Macaulay ideal if and only if  $\mathbf{s}^{\mathbf{m}_{d+r} - \mathbf{m}_1} \mathbf{t}^{\mathbf{m}_1}, \dots, \mathbf{s}^{\mathbf{m}_{d+r} - \mathbf{m}_d} \mathbf{t}^{\mathbf{m}_d}, \mathbf{s}^{\mathbf{m}_{d+r}}$  is a regular sequence in  $\mathbb{K}[\mathcal{A}]$  (see [6, Theorem 2.6]).

Affine semigroups provide a natural extension of numerical semigroups and play a crucial role in defining the projective closure of numerical semigroup rings. Gröbner basis theory has proven to be a valuable technique for studying properties related to numerical semigroups and their projective closures, including the Cohen-Macaulayness of their

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associated graded rings and the algorithm for finding the Frobenius number of numerical semigroups [1, 10]. Subsequently, Herzog and Stamate [7] established Gröbner basis criteria for determining the Cohen-Macaulay property of projective closures of affine monomial curves.

In this paper, we investigate the projective closure of simplicial affine semigroups in  $\mathbb{N}^d$ , where  $d \geq 2$ . We provide a criterion for characterizing the Cohen-Macaulayness of the projective closure of simplicial affine semigroups using the Gröbner basis of the defining ideal  $I(\Gamma^h)$ .

Furthermore, we explore the Buchsbaum property of the corresponding projective closures in cases where the projective closure of the numerical semigroup ring is non-Cohen-Macaulay. Kamoi [9] examined the Buchsbaum property of simplicial affine semigroups by utilizing the Gröbner basis of the corresponding defining ideal. In Section 3.2, we present a characterization of the Buchsbaum property for non-Cohen-Macaulay projective closures using the Gröbner basis of the corresponding defining ideal.

In Section 5, we study the lifting of simplicial affine semigroups in  $\mathbb{N}^d$ . This construction is motivated by Şahin's work [14] on  $k$ -lifting of monomial curves for a given  $k \in \mathbb{N}$ . It is inspired by the shifting of simplicial affine semigroups. For  $d = 1$ , Herzog and Stamate investigated the Cohen-Macaulayness of the associated graded ring of numerical semigroup rings. Similarly, Şahin demonstrated that if the associated graded ring of the original numerical semigroup ring is Cohen-Macaulay, then the associated graded ring of its  $k$ -lifting is also Cohen-Macaulay. We establish that the same result holds for the lifting of simplicial affine semigroups when  $d \geq 2$ . If  $\Gamma$  is a simplicial affine semigroup and  $\Gamma_k$  represents the corresponding  $k$ -lifting of  $\Gamma$ , the Betti numbers of both the rings are identical, written as  $\beta_i(\mathbb{K}[\Gamma]) = \beta_i(\mathbb{K}[\Gamma_k])$ , where  $\beta_i(\mathbb{K}[\Gamma])$  and  $\beta_i(\mathbb{K}[\Gamma_k])$  denote the  $i^{\text{th}}$  Betti numbers of  $\mathbb{K}[\Gamma]$  and  $\mathbb{K}[\Gamma_k]$  respectively.

## 2. PRELIMINARIES

For an affine semigroup  $\Gamma$ , consider the natural partial ordering  $\prec_\Gamma$  on  $\mathbb{N}$ , such that for all elements  $x, y \in \mathbb{N}$ ,  $x \prec_\Gamma y$  if  $y - x \in \Gamma$ .

**Definition 2.1** ([8]). Let  $\mathbf{b} \in \max_{\prec_\Gamma} \text{Ap}(\Gamma, E)$ ; the element  $\mathbf{b} - \sum_{i=1}^r \mathbf{a}_i$  is called a *quasi-Frobenius* element of  $\Gamma$ . The set of all quasi-Frobenius elements of  $\Gamma$  is denoted by  $\text{QF}(\Gamma)$  and its cardinality is said to be the *type* of  $\Gamma$ , denoted by  $\text{type}(\Gamma)$ .

*Remark 2.2* ([8, Proposition 3.3]). If  $\mathbb{K}[\Gamma]$  is arithmetically Cohen-Macaulay, then the last Betti number of  $\mathbb{K}[\Gamma]$  is called the Cohen-Macaulay type of  $\mathbb{K}[\Gamma]$ , written as  $\text{CMtype}(\mathbb{K}[\Gamma])$ . Moreover,  $\text{type}(\Gamma) = \text{CMtype}(\mathbb{K}[\Gamma])$ .

**Theorem 2.3.** *The defining ideal  $I(\Gamma^h)$  of  $\mathbb{K}[\Gamma^h]$  is given by the homogenization of  $f$  with respect to variable  $z_0$ , where  $f \in I(\Gamma)$ , i.e.,  $I(\Gamma^h) = \{f^h : f \in I(\Gamma)\}$ .*

## 3. COHEN-MACAULAYNESS OF PROJECTIVE CLOSURE OF SIMPLICIAL AFFINE SEMIGROUPS

In this section, we study the Cohen-Macaulay characterization of the projective closure of simplicial affine semigroups in  $\mathbb{N}^d$  via Gröbner basis of defining ideal of corresponding semigroups.

**Theorem 3.1.** *Let  $E_\Gamma = \{\mathbf{m}_1, \dots, \mathbf{m}_d\}$  be a set of extremal rays of  $\Gamma$ . Then the set*

$$E_{\Gamma^h} = \{(\mathbf{m}_{d+r} - \mathbf{m}_i, \mathbf{m}_i), (\mathbf{m}_{d+r}, \mathbf{0}) \mid 1 \leq i \leq d\}$$

*is set of extremal rays of  $\Gamma^h$ .*

Let  $\prec$  denotes the degree reverse lexicographic ordering on  $A = \mathbb{K}[z_1, \dots, z_{d+r}]$  induced by  $z_1 \prec \dots \prec z_{d+r}$  and  $\prec_0$  the induced reverse lexicographic order on  $A[z_0]$ , where  $z_0 \prec z_1$ . The following theorem is one of the main results of this paper. For any monomial ideal  $I$ , we let  $G(I)$  denote the unique minimal set of monomial generators for  $I$ .

**Theorem 3.2.** *Let  $\Gamma$  be a simplicial affine semigroup in  $\mathbb{N}^d$ ,  $d \geq 2$  with set of extremal rays  $E = \{\mathbf{m}_1, \dots, \mathbf{m}_d\}$ . Then, the followings are equivalent.*

- (a)  $\mathbb{K}[\Gamma]$  is arithmetically Cohen-Macaulay.
- (b)  $\mathbb{K}[\Gamma^h]$  is arithmetically Cohen-Macaulay.
- (c)  $z_0, z_1, \dots, z_d$  do not divide any element of  $G(\text{in}_{\prec_0}(I(\Gamma^h)))$ .
- (d)  $z_1, \dots, z_d$  do not divide any element of  $G(\text{in}_{\prec}(I(\Gamma)))$ .

**Theorem 3.3.** *Let  $\mathbb{K}[\Gamma^h]$  is arithmetically Cohen-Macaulay. The Apéry set of  $\Gamma^h$  with respect to  $E_{\Gamma^h}$  is given by  $\text{Ap}(\Gamma^h, E_{\Gamma^h}) =$*

$$\left\{ \sum_{i=d+1}^{d+r} \alpha_i (\mathbf{m}_{d+r} - \mathbf{m}_i, \mathbf{m}_i) : \sum_{i=d+1}^{d+r} \alpha_i \mathbf{m}_i \in \text{Ap}(\Gamma, E_\Gamma) \text{ for some } (\alpha_{d+1}, \dots, \alpha_{d+r}) \in \mathbb{N}^r \right\}.$$

For an affine semigroup  $\Gamma$ , consider the partial natural ordering  $\preceq_\Gamma$  on  $\mathbb{N}^d$  where, for all elements  $\mathbf{x} \preceq_\Gamma \mathbf{y}$  if  $\mathbf{y} - \mathbf{x} \in \Gamma$ .

**Theorem 3.4.** *Let  $\mathbb{K}[\Gamma^h]$  be Cohen-Macaulay. Then, the Cohen-Macaulay type of  $\mathbb{K}[\Gamma^h]$  is  $r(\mathbb{K}[\Gamma^h]) = |\{\max_{\preceq_{\Gamma^h}}(\text{Ap}(\Gamma^h, E_{\Gamma^h}))\}|$ .*

#### 4. BUCHSBAUM CRITERION FOR NON-COHEN MACAULAY PROJECTIVE CLOSURE OF NUMERICAL SEMIGROUP RINGS

**Theorem 4.1.**  $\mathbb{K}[\Gamma^h]$  is Buchsbaum if and only if  $x_0, x_{s+1}$  do not divide the leading monomial of any element of  $G$ .

**Example 4.2.** *Let  $R = \mathbb{K}[u^4, u^3v, uv^3, v^4]$ . Here  $T = \langle (0, 4), (3, 1), (1, 3), (0, 4) \rangle$  and  $T^*$  is minimally generated by the set  $\langle (0, 4), (1, 3), (2, 2), (3, 1), (4, 0) \rangle$  with extremal rays  $\{(0, 4), (4, 0)\}$ . Note that  $G = \{x_3^2 - x_4x_0, x_2x_3 - x_1x_0, x_1x_3 - x_2x_4, x_2^2 - x_3x_0, x_1x_2 - x_4x_0, x_1^2 - x_3x_4\}$  is the reduced Gröbner basis of  $I_{T^*}$  with respect to degree reverse lexicographic ordering  $>$  induced by  $x_1 > x_2 > x_3 > x_4 > x_0$ . We can see that  $x_0, x_4$  do not divide the leading monomial of any element of  $G$ , hence  $R$  is Buchsbaum ring.*

#### 5. LIFTING OF AFFINE SEMIGROUPS

Let  $\Gamma$  be a simplicial affine semigroup minimally generated by  $\{\mathbf{a}_1, \dots, \mathbf{a}_{d+r}\}$  with the set of extremal rays  $\{a_1, \dots, a_d\}$ . The  $k$  lifting of  $\Gamma$  is defined as the affine semigroup  $\Gamma_k$  minimally generated by  $\{\mathbf{a}_1, \dots, \mathbf{a}_d, k\mathbf{a}_{d+1}, \dots, k\mathbf{a}_{d+r}\}$ . Note that  $\Gamma_k$  is simplicial affine semigroup. Let  $x_E^\alpha = x_1^{\alpha_1} \dots x_d^{\alpha_d}$ ,  $x_{E_c}^{\alpha'} = x_{d+1}^{\alpha_{d+1}} \dots x_{d+r}^{\alpha_{d+r}}$  where  $\alpha = (\alpha_1, \dots, \alpha_d)$ ,  $\alpha' = (\alpha_{d+1}, \dots, \alpha_{d+r})$ ,

**Theorem 5.1.** *Let  $x_E^\alpha x_{E_c}^{\alpha'} - x_E^\beta x_{E_c}^{\beta'} \in I_\Gamma$  then  $x_E^{k\alpha} x_{E_c}^{\alpha'} - x_E^{k\beta} x_{E_c}^{\beta'} \in I_{\Gamma_k}$ . Moreover,  $\mu(I_\Gamma) = \mu(I_{\Gamma_k})$ .*

**Corollary 5.2.** *If  $\mathbb{K}[\Gamma]$  is Cohen-Macaulay (respectively Gorenstein) then  $\mathbb{K}[\Gamma_k]$  is Cohen-Macaulay (respectively Gorenstein).*

**Theorem 5.3.** *If  $\mathbb{K}[\Gamma]$  is Cohen-Macaulay. Then  $\text{Ap}(\Gamma_k, E) = \{k\mathbf{b} : \mathbf{b} \in \text{Ap}(\Gamma_k, E)\}$ .*

**Definition 5.4.** A semigroup  $\Gamma$  is said to be of homogeneous type if  $\beta_i(\mathbb{K}[\Gamma]) = \beta_i(\text{gr}_m(\mathbb{K}[\Gamma]))$  for all  $i \geq 1$ .

**Theorem 5.5.** *Let  $\text{gr}_m(\mathbb{K}[\Gamma])$  is Cohen-Macaulay and  $\Gamma$  is of homogeneous type. Then  $\Gamma_k$  is of homogeneous type.*

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